



CYNGOR SIR  
YNYS MÔN  
ISLE OF ANGLESEY  
COUNTY COUNCIL

## AUDIT & GOVERNANCE COMMITTEE

### FORWARD WORK PROGRAMME

23 July 2019

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Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
September 2019 December 2019 February 2020	<b>Internal Audit Update</b>	An update on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
September 2019 December 2019 February 2020	<b>External Audit Update</b>	An update on External Audit's work: <ul style="list-style-type: none"> <li>• Performance Audit</li> <li>• Financial Audit</li> </ul>	Performance Audit Lead – Wales Audit Office <a href="mailto:Alan.Thomas@audit.wales">Alan.Thomas@audit.wales</a>  Financial Audit Manager – Deloitte <a href="mailto:cedge@deloitte.co.uk">cedge@deloitte.co.uk</a>
September 2019 February 2020	<b>Outstanding Internal Audit Issues / Risks</b>	A report of all outstanding internal audit Issues / Risks	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
September 2019 February 2020	<b>Corporate Risk Register</b>	In accordance with its terms of reference, the Audit and Governance Committee is required to review the Corporate Risk Register and, where appropriate, request a response from management on actions to manage risks.	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>  Insurance & Risk Manager <a href="mailto:JulieJones@ynysmon.gov.uk">JulieJones@ynysmon.gov.uk</a>
September 2019 February 2020	<b>Progress made on External Regulatory Reports</b>	The Audit and Governance Committee is requested to consider the progress made on external regulatory reports, which are directly related to the issues of governance or the management of risk within the Council.	Programme, Business Planning & Performance Manager <a href="mailto:GethinMorgan@ynysmon.gov.uk">GethinMorgan@ynysmon.gov.uk</a>

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
September 2019	<b>Report of the Head of Function (Resources) regarding the Annual Finance and Governance Report 2018/19</b>	<p>The Audit and Governance Committee is charged with approving the accounts on behalf of the Council. The Audit and Governance Committee is therefore required to:</p> <ul style="list-style-type: none"> <li>• approve the Annual Finance and Governance Report 2018/19, including the Statement of Accounts 2018/19,</li> <li>• receive the Appointed Auditor's report on the accounts and the ISA 260, and to approve the Final Letter of Representation.</li> </ul>	<p>Head of Function (Resources) / S151 Officer  <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a></p>
September 2019	<b>Annual ICT Security Report 2018/19</b>	<p>Annual report of the ICT Business Transformation Manager on digital data security/cyber security threats to the Council and the arrangements in place to manage those risks.</p>	<p>ICT Business Transformation Manager  <a href="mailto:JohnThomas@ynysmon.gov.uk">JohnThomas@ynysmon.gov.uk</a></p>
September 2019	<b>Annual Corporate Health and Safety Report 2018/19</b>	<p>Annual report of the Chief Public Protection Officer</p>	<p>Corporate Health and Safety Advisor  <a href="mailto:StephenNicoll@ynysmon.gov.uk">StephenNicoll@ynysmon.gov.uk</a></p>

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September 2019	<b>Internal Audit Charter</b>	The Public Sector Internal Audit Standards require the chief audit executive to produce an Internal Audit Charter, which the Audit and Governance Committee must approve. Although not due for review until 2021, the Charter will be updated for changes to the internal audit approach.	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
December 2019	<b>Review of the Audit and Governance Committee's Terms of Reference</b>	The Audit and Governance Committee should periodically review its terms of reference for appropriateness, with consideration given to sector guidance and the needs of the Council.	Head of Function (Resources) / S151 Officer <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>
December 2019	<b>Review of the Risk Management Strategy and Framework</b>	In accordance with its terms of reference, the Audit and Governance Committee is required to keep under review the Risk Management Strategy for the Council.	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
December 2019	<b>Mid-year Report on Treasury Management for 2019/20</b>	CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report the treasury management position mid-year. The Committee is requested to note the current position on investments and borrowing.	Head of Function (Resources) / S151 Officer <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>

Date	Subject	Reason for reporting	Responsible Officer (including e-mail address)
February 2020	<b>Internal Audit Strategy 2020/21</b>	The Public Sector Internal Audit Standards (2017) requires the chief audit executive to present the Internal Audit Strategy to the Audit and Governance Committee for approval.	Head of Internal Audit & Risk <a href="mailto:MarionPryor@ynysmon.gov.uk">MarionPryor@ynysmon.gov.uk</a>
February 2020	<b>Treasury Management Strategy 2020/21 and Actual Prudential Indicators for 2020/21</b>	<p>CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report annually on their treasury management strategy and plan, before the start of the year.</p> <p>The report will cover the actual Prudential Indicators for 2020/21 in accordance with the requirements of the Prudential Code.</p>	Head of Function (Resources) / S151 Officer <a href="mailto:MarcJones@ynysmon.gov.uk">MarcJones@ynysmon.gov.uk</a>